

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**

- ☒ Declaration Submitted with Initial Filing
☐ Declaration Submitted after Initial Filing

Attorney Docket Number	X-15642
First Named Inventor	Richard Dennis DiMarchi
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole Inventor (if only one name is listed below) or an original, first and joint Inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MODIFIED GLUCAGON-LIKE PEPTIDE-1 ANALOGS

the specification of which

☐ is attached hereto

OR

☒ was filed on
(MM/DD/YYYY)

06/02/2003

as United States Application Number or PCT International

Application
Number

PCT/US03/15395

and was amended on
(MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)
60/385,927Filing Date (MM/DD/YYYY)
06/04/2002☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 121, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,263
Lynn D. Apeltgren	45,341
Robert A. Armilidge	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,798
Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
John Cleveland	50,697
Charles E. Cohen	34,565
Donald L. Corneglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
John C. Demeter	30,167
Marisha A. Desai	43,585
Paul J. Gaylor	36,808
Caren D. Geppert	54,117
Francis O. Ginah	44,712
Amy E. Hamilton	33,894
Danica Hostettler	51,820
Thomas E. Jackson	33,064
Soonhee Jang	44,802
Gerald P. Keteher	43,707
James J. Kelley	41,868
Paul J. Koivumäki	31,533

Attorney Name	Reg. No.
Thomas LaGrandeur	51,026
Robert E. Leo	27,919
James P. Leeds	35,241
Nelsen L. Lentz	38,537
Elizabeth A. McGraw	44,646
Douglas K. Norman	33,267
Arlene Palmberg	40,422
Thomas G. Plant	35,784
Edward Prein	37,212
Grant E. Reed	41,264
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Michael J. Sayles	32,295
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Robert G. Tucker	45,165
Tina M. Tucker	47,145
MaChari Vomdram-Jones	36,711
Gilbert T. Voy	43,972
Thomas D. Webster	38,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
Mark A. Winter	53,782
MaryAnn Wiskerchen	45,511
Dan L. Wood	48,613

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name **ELI LILLY AND COMPANY**

Address **ATTN: Thomas LaGrandeur**

Address **Patent Division, P.O. Box 6288**

City **INDIANAPOLIS**

State **INDIANA**

ZIP **46206-6288**

Country **US**

Telephone

(317) 651-1527

Fax

(317) 276-3861

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned Inventor

Given Name **Richard**

Middle Name **Dennis**

Family Name **DiMarchi**

Suffix

e.g. Jr.

Inventor's Signature

Richard Dennis DiMarchi

Date

4-10-04

Residence: City **Carmel**

State **IN**

IN

Country **US**

Citizenship **US**

Address **10890 Wilmington Drive**

Post Office Address **SAME AS ABOVE**

City **Carmel**

State **IN**

Zip

46033

Country **US**

☒ Additional Inventors are being named on supplement sheet(s) attached hereto.

DECLARATION

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Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor

Given Name **Richard** Middle Name **Dennis** Family Name **DiMarchi** Suffix **e.g. Jr.**

Inventor's Signature _____ Date _____

Residence: City **Carmel** State **IN** Country **US** Citizenship **US**

Address **10890 Wilmington Drive**

Post Office Address **SAME AS ABOVE**

City **Carmel** State **IN** Zip **46033** Country **US**

☒ Additional inventors are being named on supplement sheet(s) attached hereto.

Please type a plus sign (+) inside this box



PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	David	Middle Name	Lee	Family Name	Smiley	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Greenfield	State	IN	Country	US	Citizenship	US
Address	1093 Forest Glen Drive						
Post Office Address	SAME AS ABOVE						
City	Greenfield	State	IN	Zip	46140	Country	US

300

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Lianshan	Middle Name		Family Name	Zhang	Suffix e.g. Jr.	
Inventor's Signature						Date	6-4-04
Residence: City	Carmel	State	IN	Country	US	Citizenship	US
Address	13244 Snow Owl Drive						
Post Office Address	SAME AS ABOVE						
City	Carmel	State	IN	Zip	46033	Country	US

Please type a plus sign (+) inside this box

+

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Given Name	David	Middle Name	Lee	Family Name	Smiley	Suffix e.g. Jr.	
Inventor's Signature	<i>David Lee Smiley</i>					Date	4/1/04
Residence: City	Greenfield	IN	State	IN	Country	US	Citizenship
Address		1093 Forest Glen Drive					
Post Office Address		SAME AS ABOVE					
City	Greenfield	State	IN	Zip	46140	Country	US

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Address	Patent Division, P.O. Box 6288				
City	INDIANAPOLIS	State	INDIANA	ZIP	46206-6288
Country	US	Telephone	(317) 651-1527	Fax	(317) 276-3861

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Given Name	Richard	Middle Name	Dennis	Family Name	DiMarchi
Inventor's Signature					Suffix e.g. Jr.
					Date
Residence: City	Carmel	State	IN	Country	US
Address	10890 Wilmington Drive				
Post Office Address	SAME AS ABOVE				
City	Carmel	State	IN	Zip	46033
					Country
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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60/385,927	06/04/2002	

**DECLARATION**

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor				
Given Name	David			Middle Name	Lee	Family Name	Smiley	Suffix e.g. Jr.
Inventor's Signature							Date	
Residence: City	Greenfield	State	IN	Country	US	Citizenship	US	
Address	1093 Forest Glen Drive							
Post Office Address	SAME AS ABOVE							
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Address	13244 Snow Owl Drive							
Post Office Address	SAME AS ABOVE							
City	Carmel	State	IN	Zip	46033	Country	US	

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Richard Dennis DiMarchi, David Lee Smiley, and Lianshan Zhang

Application No.: PCT/US03/15395 Filed: 02 June 2003

Entitled: MODIFIED GLUCAGON-LIKE PEPTIDE-1 ANALOGS

ELI LILLY AND COMPANY, a CORPORATION
(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above.

- ☐ The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____.
☒ The assignment is being submitted separately for recordation; a copy of this assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date Dec. 1, 2004

Thomas LaGrandeur
Thomas LaGrandeur
Patent Attorney

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number:

25885

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

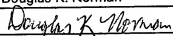
Assignee Name and Address:

Eli Lilly and Company
Patent Division
PO Box 6288
Indianapolis, Indiana 46206-6288

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Douglas K. Norman		
Signature		Date	10 August 2004
Title	Deputy General Counsel, General Patent Counsel	Telephone	317-433-1651

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